

# Long term safety – how to hit a moving target

V Jehl, P Lopez Romero, R Izem

BES

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# Disclaimer

I'm a full time Novartis employee.

The views and opinions expressed in this presentation represents my own views and interpretations and does not represent Novartis.

# Safety: different paths, same available frameworks



Even though different questions are addressed, the available frameworks whether target trial or estimand also apply to Safety...

# Agenda

1. Background
  - Setting for case study
  - FDA request
2. Emulating the target trial
  - Application
3. Take home messages

# Take home messages

- The different target trial /estimand existing **quantitative frameworks** are also **helpful** in **safety**

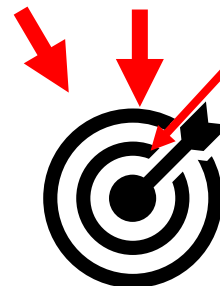


- When working on a post-marketing approval request (PMR):

- **Drug utilisation study is key**

- When switching is present

- «**Trade-off**» between clear target clinical question and drug use needs to be found
- **Mitigations** include providing several estimates, knowing they address different questions



QSE

# Background

# Setting for case study

## Indication

- **Chronic** indication for which life long treatment is required

## Therapeutic landscape

- Drugs are already available for the indication including non-biologics and biologics
  - Their number increased over time (>10 different drugs)

## Post-approval i.e., after initial drug approval

- At time of drug approval, the safety events categorized as identified vs. potential risks

- **Potential risks:**

- Include **theoretical risks** based on mechanism of action of the drug
  - Often limited information available at time of approval
    - Can include latent events



**Post  
approval  
marketing  
request**

# FDA post marketing authorization request (PMR)

A **postmarketing prospective, long-term, observational study** to assess the **long-term safety** of *Drug NovD* compared to other therapies used in the treatment of adults with moderate to severe *Indication* who are candidates for systemic therapy in a real-world clinical setting.

The study's **primary outcome is malignancies**.

Describe and justify the **choice of appropriate comparator population(s)**.

Design the study around a **testable hypothesis** to assess, with sufficient sample size and power, a **clinically meaningful increase in malignancy risk above the comparator background rate**.

Specify concise case definition and validation algorithms for the primary outcome.

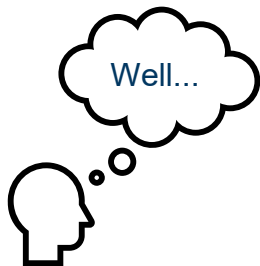
Enroll patients over an initial **4-year period** and **follow for a minimum of 8 years from the time of enrollment**.

Is the clinical question of interest clearly defined?



# Little survey...

## For you what is long term safety?



Is the clinical question of interest clearly defined?

What is long term safety?

- A) Drug exposure **8 years** and safety assessed after **8 years**?
- B) Drug exposure **5 years** and safety assessed after **8 years**?
- C) Drug exposure **1 year** and safety assessed after **8 years**?
- D) Drug exposure **2 months** and safety assessed after **8 years**?
- E) Drug exposure **2 months** and safety assessed after **2 years**?

QSE

# Emulating the target trial

# Target trial emulation: protocol components

Table 1 in Hernan and Robins 2016,  
Using **Big Data to Emulate a Target Trial When a Randomized Trial Is Not Available**

## Protocol components



- Eligibility criteria
- Treatment strategy
- Assignment procedures
- Follow-up period
- Outcome
- Causal contrast of interest
- Analysis plan

## Estimand attribute «equivalent»\$\$

- Population
- Treatment
- Randomization*
- Variable/endpoint
- Population level summary
- Intercurrent event + handling

Ref: *Am J Epidemiol.* 2016; 183(8):758-764

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# Target trial emulation: protocol components – Eligibility criteria

- Adult ( $\geq 18$  years at enrollment)
- Patients with moderate to severe *Indication*
- Eligible for systemic therapy in real-world setting for *Indication*
- No prior history of malignancy
  
- Any need to restrict prior medication (*TBD later*)?

## PMR:

A postmarketing prospective, long-term, observational study to assess the long-term safety of *Drug NovD* compared to other therapies used in the **treatment of adults with moderate to severe *Indication*** who are **candidates for systemic therapy in a real-world clinical setting**.

# Target trial emulation: protocol components – Treatment strategy

## Protocol components

Eligibility criteria

Treatment strategy

Assignment procedures

Follow-up period

Outcome

Causal contrast of interest

Analysis plan

- Exposure arm: Drug NovD
- Comparator arm:
  - Other systemic therapies for treatment of moderate to severe *Indication*

## PMR:

A postmarketing prospective, long-term, observational study to assess the **long-term safety** of *Drug NovD* **compared to other therapies used in the treatment of adults with moderate to severe *Indication*** who are **candidates for systemic therapy** in a real-world clinical setting.

**Describe and justify the choice of appropriate comparator population(s).**

# Target trial emulation: protocol components – Treatment strategy (2)

Protocol components
Eligibility criteria
Treatment strategy
Assignment procedures
Follow-up period
Outcome
Causal contrast of interest
Analysis plan

- Many other systemic therapies available for moderate to severe *Indication*
- Link between treatment strategy <-> eligibility criteria



**Trial 1: No prior biologic therapy**

Treatment strategies:

- 1) NovD
- 2) Biologic therapy
- 3) Non-biologic therapy

**Trial 2: Prior biologic therapy**

Treatment strategies:

- 1) NovD
- 2) Biologic therapy

⇒ Not one single target trial but rather two

# Target trial emulation: protocol components – Assignment strategy

- In target trial, treatment would be assigned randomly to each treatment strategy

## Trial 1: No prior Biologic therapy

3 arm treatment strategy:

- 1) NovD
- 2) Biologic therapy
- 3) Non- Biologic therapy

## Trial 2: Prior Biologic therapy

2 arm treatment strategy:

- 1) NovD
- 2) Biologic therapy

## PMR:

*No details included*

# Target trial emulation: protocol components – Follow-up period

- Follow-up expected to be a **minimum of 8 years** from enrollment
  - Patient level translating into follow-up time is the minimum of time until event of interest, study discontinuation, death, lost to follow-up

## PMR:

Enroll patients over an initial **4-year period** and **follow for a minimum of 8 years from the time of enrollment.**



# Target trial emulation: protocol components – Outcome

## Protocol components

Eligibility criteria

Treatment strategy

Assignment procedures

Follow-up period

Outcome

Causal contrast of interest

Analysis plan

- Primary outcome: malignancy
  - With supporting source documentation to confirm diagnosis i.e. only validated events are considered

## PMR:

The study's **primary outcome is malignancies**.

Specify concise case definition and validation algorithms for the primary outcome.

# Target trial emulation: protocol components – Causal contrast of interest

## Protocol components

Eligibility criteria

Treatment strategy

Assignment procedures

Follow-up period

Outcome

→ Causal contrast of interest

Analysis plan

- Intention-to-treat effect
  - [using *Hernan & Robin's 2016* notation ↔  $$$Treatment\ policy$$$ ]

## PMR:

Design the study around a **testable hypothesis** to assess, with sufficient sample size and power, a **clinically meaningful increase in malignancy risk above the comparator background rate**

Ref: Hernan and Robins, *Am J Epidemiol.* 2016; 183(8):758-764

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Eligibility criteria
Treatment strategy
Assignment procedures
Follow-up period
Outcome
Causal contrast of interest

→ Analysis plan

# Target trial emulation: protocol components – Analysis plan

- Intention-to-treat (ITT) effect via comparison of the 8-year malignancy risk among individual assigned to the treatment strategies
  - [ITT using *Hernan & Robin's 2016* notation ↔ **\$\$Treatment policy\$\$**]
  - Comparison **irrespective** of whether the treatment strategy is followed
    - **\$\$Intercurrent events of treatment=discontinuation**  
Handling via treatment policy strategy\$\$

## PMR:

Design the study around a **testable hypothesis** to assess, with sufficient sample size and power, a **clinically meaningful increase in malignancy risk above the comparator background rate**

# Target trial emulation: Further item

- **Time 0** replacing randomization time in observational study
  - Key to align this time between eligibility checks, treatment assignment and start of follow-up
    - Target trial 1 (Biologic naive): time 0 is start of Biologic therapy
    - Target trial 2 (Not «Biologic naive»): less clear
      - time 0 is start of a new Biologic therapy ?
        - i.e., A therapy the patient was to exposed to in the past
- **Correcting for confounder at baseline**
  - No randomization can lead to confounder at index
  - Propensity score are used to correct for possible confounder at index
    - Patients are weighted so that the characteristics at index are balanced between treatment strategies at index
      - Main focus: characteristics affecting both treatment strategy and outcome

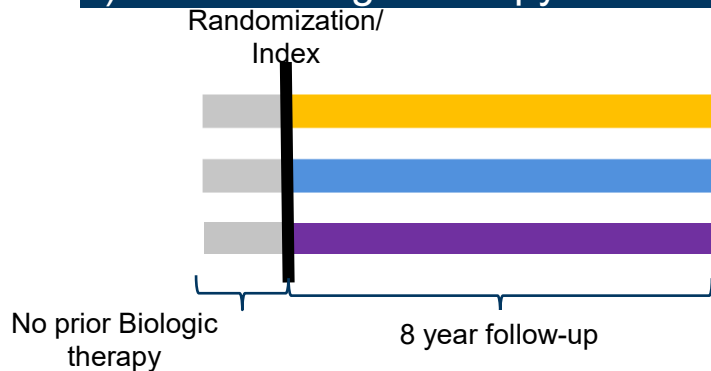
# From PMR to two Target trials



## Trial 1: No prior Biologic therapy

Treatment strategies:

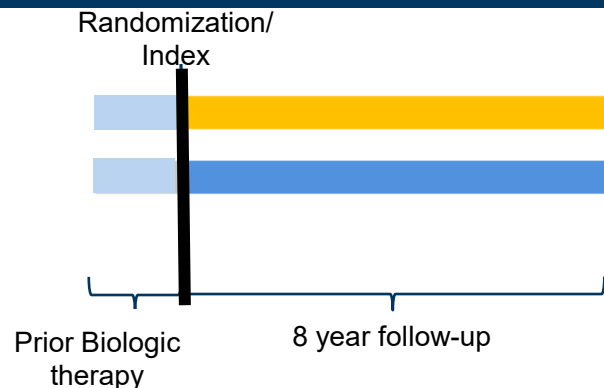
- 1) NovD
- 2) Biologic therapy
- 3) Non- Biologic therapy



## Trial 2: Prior Biologic therapy

Treatment strategies:

- 1) NovD
- 2) Biologic therapy



# Alas!

# Alas! Real world use can often not be fully anticipated



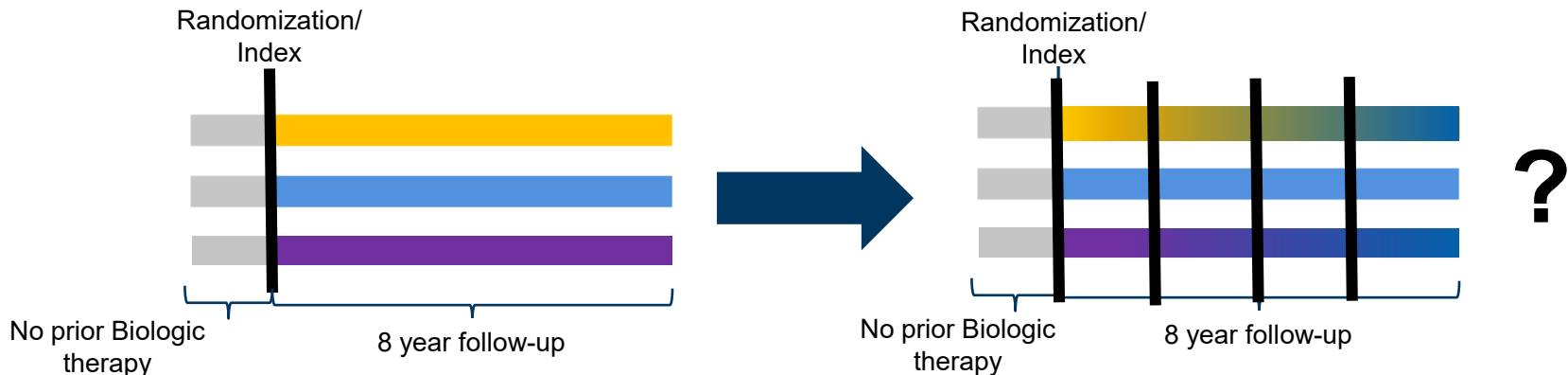
In real-world, patients changed therapies «a lot». Even though we target the ITT estimate, we now wonder...

Looking at NovD use:

- a) 40% of patients discontinue within 12 first months
- b) 25% of patients discontinue within 12 to 24 first months

➤ **Is the clinical question (looking at 8-year FU) still relevant?**

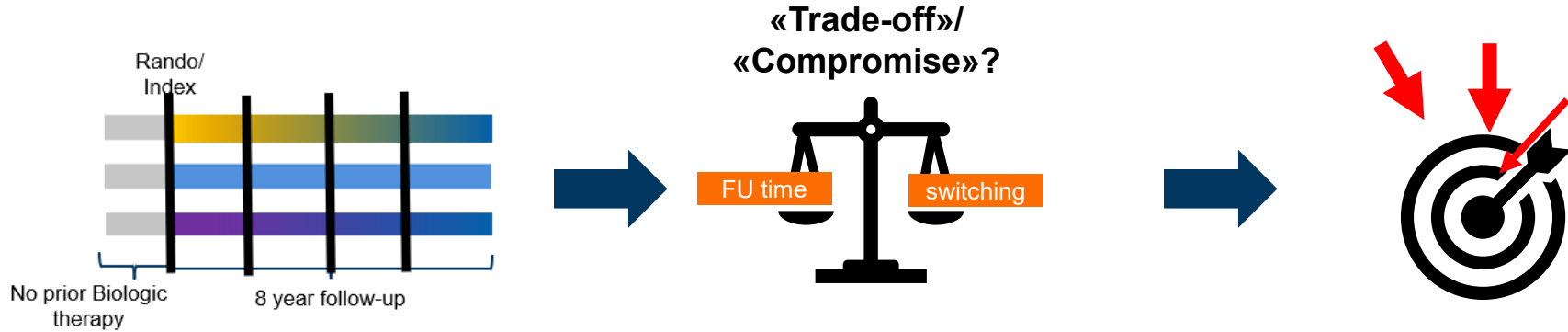
# Is the 8-year ITT question still clinically relevant?



- Is this switching and 8-year ITT “compatible”?
- Do we need to **change the question of interest** so that what we conclude would be more interpretable?



# A series of «new» clear questions... rather than only the 8-year ITT question?



## Continue with “ITT”- type question

- Reduce FU time
- Consider also 4 or 5 years?

Affect Target trial element: FU changed

## Change to “per-protocol”\*/Adherence-type question/ **\$\$\$Hypothetical strategy\$\$\$**

- Consider 2, 3, 4-year adherent?

Affect Target trial element: Causal contrast+  
**Analysis**

# Our learnings

## 1. The **existing frameworks were useful**

- Emulating the target trial(s)
- Two separate target trials are needed
- Time 0 is an issue when biologic non-naive is considered
- **\$\$Estimand\$\$**
- **Helped us thinking and reasoning on the intercurrent event/discontinuation**

## 2. Understanding the **real-world drug use** was key, when treatment **switching** is predominant

- The **clinical question of interest** becomes **less clear**
- Is the **long term (8-year) safety question** at all **relevant/identifiable** in this context?



A **trade-off** need to be found between Switching and FU time



May be better addressed using a «**series**» of **well defined questions** rather than one less relevant one

## 3. Re-negotiation with HA may be needed

# Take home messages

- The different target trial /estimand existing **quantitative frameworks** are also **helpful** in **safety**

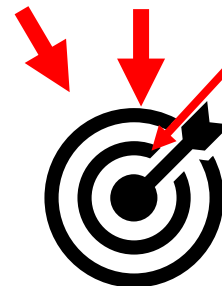


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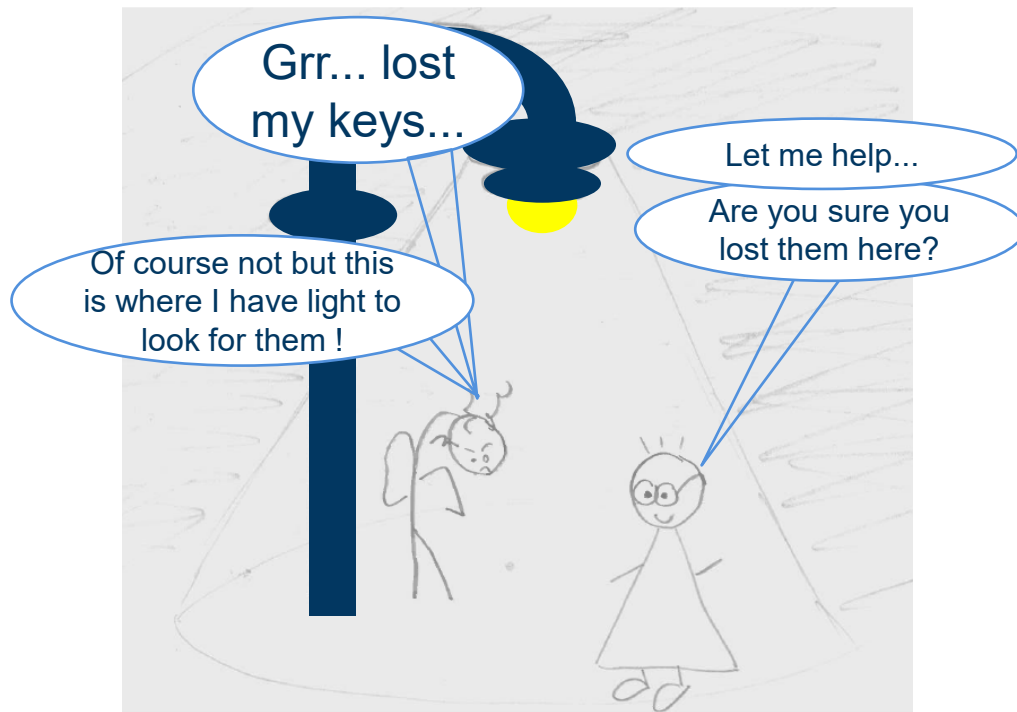
- **Drug utilisation study is key**

- When switching is present

- «**Trade-off**» between clear target clinical question and drug use needs to be found
- **Mitigations** include providing several estimates, knowing they address different questions



# Take home messages



# Main references

Hernan and Robins; Using Big Data to Emulate a Target Trial When a Randomized Trial Is Not Available; Am J Epidemiol. 2016; 183(8):758-764

Hernan; The Hazards of Hazard Ratios; *Epidemiology* 21(1):p 13-15, January 2010.; DOI: 10.1097/EDE.0b013e3181c1ea43

Danaei et al; Observational data for comparative effectiveness research: An emulation of randomised trials of statins and primary prevention of coronary hearth disease; Statistics Methods in Medical Research 2011; 22 (1) 70-97



**Thank you**